

Instructions

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Epstein



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Judith	2. Surname (Last Name) Epstein	3. Date 17-October-2016
4. Are you the corresponding author?	Yes 🚺 No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium falcipa	rum malaria by PfSPZ Vacc	ine
6. Manuscript Identifying Number (if you ki 89154-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Public	cation
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descri	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.
Section 4. Intellectual Proper		
intellectual Proper	ty Patents & Copyri <u>c</u>	ints
Do you have any patents, whether plans	ned, pending or issued, br	oadly relevant to the work? Yes Vo



Section 5.	Relationships not covered above
- Beauty	
Are there other re potentially influe	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
At the time of ma On occasion, jour	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. Epstein has r	nothing to disclose.

Evaluation and Feedback



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Royalties: Funds are coming in to you or your institution due to your

patent



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Kristopher	2. Surname (Last Name) Paolino	3. Date 03-October-2016		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman		
5. Manuscript Title Protection Against Plasmodium falcipa	rum by PfSPZ Vaccine			
6. Manuscript Identifying Number (if you kr 89154-INS-CMED-TR-2	now it)			
Section 2. The Week Harden Co				
Section 2. The Work Under C	onsideration for Public	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3. Relevant financial	activities outside the s	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4.		•		
Intellectual Proper	rty Patents & Copyric	ghts		
Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No		



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.
Section 6.	Disclosure Statement
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Dr. Paolino has n	othing to disclose.

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Richie 1



Section 1. Identifying Infor	mation	PENERGY	
1. Given Name (First Name) Thomas	2. Surname (Last Name) Richie		3. Date 01-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author	or's Name
5. Manuscript Title Protection against Plasmodium falcipa PfSPZ Vaccine	arum by		
6. Manuscript Identifying Number (if you I 89154-INS-CMED-TR-2	know it)		
Section 2. The Work Under			
The Work Under	Consideration for Publi	cation	
any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, danser:	ata monitoring board, st	
If yes, please fill out the appropriate in Excess rows can be removed by pressi		ve more than one enti	ity press the "ADD" button to add a row.
Name of Institution/Company	Grant.	n-Financial Other?	Comments
Sanaria Inc.			Sanaria received funds to manufacture the vaccine and pay for the salary time of employees working on the project.
Section 3. Relevant financia	l activities outside the	submitted work.	
	ribed in the instructions. U eport relationships that we	se one line for each er	cial relationships (regardless of amount native; add as many lines as you need by a 36 months prior to publication .
If yes, please fill out the appropriate in			
Name of Entity	Grance	n-Financial Other?	Comments
Sanaria Inc.			I am a full time salaried employee of

Richie 2



Castian A	
Section 4.	Intellectual Property Patents & Copyrights
Do you have any	y patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Dr. Richie repor	ts other from Sanaria Inc., outside the submitted work; .

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Section 1.	Identifying Inforn	nation	
1. Given Name (Fi Martha	rst Name)	2. Surname (Last Name) Sedegah	3. Date 04-October-2016
4. Are you the con	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman
Manuscript Title Protection again	e st Plasmodium falcipa	rum by PfSPZ Vaccine	
6. Manuscript Idea 89154-INS-CMED	ntifying Number (if you k D-TR-2	now it)	
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Do you have any			broadly relevant to the work? Yes V No



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patent

Singer 1



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1. Given Name (First Name) Alexandra	2. Surname (Last Name) Singer	3. Date 03-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title "Protection against Plasmodium falcip	arum by PfSPZ Vaccine"	
6. Manuscript Identifying Number (if you k 89154-INS-CMED-TR-2	know it)	
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Do you have any patents, whether plan		



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Ruben



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1. Given Name (First Name) Adam	2. Surname (Last Name) Ruben		3. Date 06-October-2016
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5. Manuscript Title Protection against P. falciparum by PfS	PZ Vaccine		
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Name of Institution/Company	Grant	upport?	Comments
Sanaria Inc.		t	am an employee of the company hat manufactured and supplied the vaccine
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Royalties: Funds are coming in to you or your institution due to your patent

Chakravarty



Section 1. Identifying Inf	ormation	
1. Given Name (First Name) Sumana	2. Surname (Last Name) Chakravarty	3. Date 18-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name SL Hoffman
5. Manuscript Title Protection against Plasmodium falo	ciparum by PfSPZ Vaccine	
6. Manuscript Identifying Number (if yo 89154-INS-CMED-TR-2	ou know it)	
Section 2. The Work Und	er Consideration for Publi	
Did you or your institution at any time any aspect of the submitted work (inclustatistical analysis, etc.)? Are there any relevant conflicts of in	receive payment or services from ding but not limited to grants, donterest? Yes No enformation below. If you have	a a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation, we more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant.	n-Financial Other? Comments
Sanaria Inc.		I am an employee of Sanaria Inc., the company that manufactured and supplied the vaccine
Section 3. Relevant finan	-:	
Place a check in the appropriate bo of compensation) with entities as d	escribed in the instructions. U d report relationships that we nterest?	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Name of Entity	Grant'	n-Financial Other? Comments
Sanaria Inc.		I am an employee of the company, Sanaria Inc., that manufactured and supplied the vacccine.



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Stafford 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) April	2. Surname (Last Name) Stafford	3. Date 04-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium falcipar	rum by PfSPZ Vaccine	
6. Manuscript Identifying Number (if you kr 89154-INS-CMED-TR-2	now it)	
Section 2. The Work Under Co	onsideration for Publi	cation
	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descri	ibed in the instructions. Us port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Proper	rty Patents & Copyri	ghts
Do you have any patents, whether plan		

Stafford 2



Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Section 6.	Disclosure Statement
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Stafford



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patent



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Richard	rst Name)	2. Surname (Last Name) Ruck	3. Date 11-October-2016
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection again	e ist Plasmodium falcipar	rum by PfSPZ Vaccine.	
6. Manuscript Ider 89154-INS-CMED	ntifying Number (if you kr D-TR-2	now it)	
Section 2.	The Work Under C	onsideration for Publ	ication
any aspect of the s statistical analysis,	ubmitted work (including	g but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for lata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.
of compensation clicking the "Add) with entities as descri	ibed in the instructions. Uport relations we	hether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by here present during the 36 months prior to publication .
Section 4.	Intellectual Proper	rty Patents & Copyr	ights
Do you have any			proadly relevant to the work? Yes No

Ruck 2



Section 5.	
	Relationships not covered above
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Costion	
Section 6.	Disclosure Statement
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Section 1.	dentifying Inform	nation			
1. Given Name (First Abraham		2. Surname (Last N Eappen	Jame)	3. Dat 06-Od	te ctober-2016
4. Are you the corres	oonding author?	Yes ✓ No	Correspon	ding Author's Name an	
5. Manuscript Title Protection against	P. falciparum by PfSF	PZ Vaccine			
6. Manuscript Identif 89154-INS-CMED-1	ying Number (if you kn TR-2	now it)			
Section 2.	he Work Under Co	onsideration for	Publication		William Stanford
statistical analysis, etc Are there any releva If yes, please fill out	i.)? ant conflicts of intere the appropriate info removed by pressing	est? Yes ormation below. If y	No you have more tha Non-Financial	o one entity press the Other Comment	"ADD" button to add a row.
Sanaria Inc.					oyee of the company ctured and supplied the
Section 3.	elevant financial	activities outsid	e the submitted	work.	
of compensation) we clicking the "Add +" Are there any releva	vith entities as descri	ibed in the instruct port relationships t est?	ions. Use one line f	or each entity; add as	nips (regardless of amount many lines as you need by s prior to publication.
Name of Entity	技技	Grant? Person		Other? Comment	S
Sanaria Inc.			- 4 14 16 - 47 16 1	✓ see section 2	



Cartion A	
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Section 1.	ldentifying Informa	ation	ALTA SERVICE		
1. Given Name (Fi Tao	rst Name)	2. Surname (Last Na Li	me)	3. C 06-	Pate October-2016
4. Are you the cor	responding author?	Yes No	Correspond SL Hoffma	ling Author's Name n	
5. Manuscript Title Protection again	e ast P. falciparum by PfSP.	Z Vaccine			
6. Manuscript Ide 89154-INS-CME	ntifying Number (if you kno D-TR-2	ow it)			
Section 2.	The Work Under Co	nsideration for P	ublication		
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	submitted work (including etc.)? evant conflicts of intere out the appropriate info be removed by pressing	but not limited to grantst? Yes Transition below. If you the "X" button. Grant? Personal	nts, data monitoring No u have more than Non-Financial	board, study design,	e "ADD" button to add a row.
		Fees•	Support	Other Comme	nts
Sanaria Inc.		Fees!	Support?	I am an em	ployee of the company factured and supplied the
Sanaria Inc.		Fees	Support!	I am an em	ployee of the company
Sanaria Inc. Section 3.	Relevant financial a			I am an em that manul vaccine	ployee of the company
Place a check in of compensation clicking the "Add Are there any rel	the appropriate boxes in n) with entities as describ	activities outside In the table to indicar ped in the instruction fort relationships the st? Yes	the submitted te whether you ha	I am an em that manuf vaccine work. we financial relation or each entity; add a	ployee of the company
Place a check in of compensation clicking the "Add Are there any rel	the appropriate boxes inn) with entities as describle +" box. You should replevant conflicts of intere	activities outside In the table to indicar ped in the instruction fort relationships the st? Yes	the submitted te whether you ha ns. Use one line fo at were present d No	I am an em that manuf vaccine work. we financial relation or each entity; add a	ployee of the company factured and supplied the asships (regardless of amount s many lines as you need by the prior to publication.



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Royalties: Funds are coming in to you or your institution due to your

patent

Billingsley 1



Section 1. Identifying Inform	ation		
Given Name (First Name) Peter	2. Surname (Last Name) Billingsley		3. Date 06-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author'	s Name
5. Manuscript Title Protection against P. falciparum by PfSP	Z Vaccine		
6. Manuscript Identifying Number (if you kn 89154-INS-CMED-TR-2	ow it)		
Section 2. The Work Under Co	onsideration for Public	ation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest lf yes, please fill out the appropriate information of the excess rows can be removed by pressing the Name of Institution/Company	st? Yes No rmation below. If you hav the "X" button.	e more than one entity	
Sanaria Inc.		tl	am an employee of the company hat manufactured and supplied the accine
Section 3. Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes i of compensation) with entities as descri clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	bed in the instructions. Us ort relationships that wer st?	e one line for each enti	ity; add as many lines as you need by
Name of Entity	Grant'	-Financial Other?	Comments
Sanaria Inc.		√ S0	ee section 2

Billingsley



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
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Section 6. Disclosure Statement
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Dr. Billingsley reports other from Sanaria Inc., during the conduct of the study; other from Sanaria Inc., outside the submitted work; .

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patent



Section 1. Identifying Infor	mation		
1. Given Name (First Name) Anita	2. Surname (Last Name) Manoj		3. Date 06-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Nar SL Hoffman	me
5. Manuscript Title Protection against P. falciparum by Pf	SPZ Vaccine		
6. Manuscript Identifying Number (if you 89154-INS-CMED-TR-2	know it)		
Section 2.			
Section 2. The Work Under	Consideration for Public	ation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intellifyes, please fill out the appropriate in Excess rows can be removed by press. Name of Institution/Company	rest? Yes No nformation below. If you having the "X" button.	n-Financial Other? Com	
Sanaria Inc.	Fees! S		n employee of the company nanufactured and supplied the ne
Section 3. Relevant financia	l activities outside the s	ubmitted work.	
Place a check in the appropriate boxe of compensation) with entities as desclicking the "Add +" box. You should read there any relevant conflicts of intelligents, please fill out the appropriate in	s in the table to indicate wh cribed in the instructions. Us eport relationships that we erest?	ether you have financial rela se one line for each entity; a	dd as many lines as you need by
Name of Entity	Grant	n-Financial other? Com	nments
Sanaria Inc.		✓ see see	ction 2

Manoj



Section 4. Intellectual Brancotty, Batanta & Consciples			
Intellectual Property Patents & Copyrights			
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo			
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Section 6. Disclosure Statement			
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Silva



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Joana	2. Surname (Last Name) Silva	3. Date 01-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L Hoffman
5. Manuscript Title Protection against Plasmodium falcipa	arum by PfSPZ Vaccine	
6. Manuscript Identifying Number (if you I 89154-INS-CMED-TR-2	know it)	
Section 2. The Work Under 0	Consideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for sta monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	l activities outside the :	submitted work.
of compensation) with entities as desc	ribed in the instructions. Useport relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Prope	erty Patents & Copyric	ghts
Do you have any patents, whether pla		THE RESIDENCE OF THE PARTY OF T



Section 5.		
Section 5	Relationships not covered above	
	tionships or activities that readers could perceive to have influenced, or that give the appearance of ing, what you wrote in the submitted work?	
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Section 6.		
D	isclosure Statement	
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Dr. Silva has nothin	ng to disclose.	

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patent

Moser



Section 1.	dentifying Inform	nation	
1. Given Name (First l Kara	Name)	2. Surname (Last Name) Moser	3. Date 03-October-2016
4. Are you the corres	oonding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against I	Plasmodium falcipar	rum by PfSPZ Vaccine	
6. Manuscript Identifi 89154-INS-CMED-T	ying Number (if you kn R-2	now it)	
Section 2.	he Work Under Co	onsideration for Pub	lication
any aspect of the subs statistical analysis, etc	mitted work (including	but not limited to grants,	om a third party (government, commercial, private foundation, etc.) for data monitoring board, study design, manuscript preparation,
Section 3. R	elevant financial	activities outside the	e submitted work.
of compensation) w clicking the "Add +"	ith entities as descri	ibed in the instructions. port relationships that w	whether you have financial relationships (regardless of amount Use one line for each entity; add as many lines as you need by were present during the 36 months prior to publication .
Section 4.	itellectual Proper	ty Patents & Copy	rights
			broadly relevant to the work? Yes No



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Moser



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1. Given Name (First Name) Robin	2. Surname (Last Name) Nielsen	3. Date 03-October-2016
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Stephen L Hoffman
Manuscript Title Protection against Plasmodium falcipa PfSPZ Vaccine	rum by	
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Do you have any patents, whether plan	rty Patents & Copyrig	
Do you have any paterns, whether plai	med, pending or issued, br	oadly relevant to the work:

Nielsen



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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Donna	2. Surname (Last Name) Tosh	3. Date 03-October-2016
4. Are you the corresponding author?	☐ Yes 📝 No	Corresponding Author's Name Stephen Hoffman
 Manuscript Title is "Protection against Plasmodium falci PfSPZ Vaccine" 	parum by	
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Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes Vo



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	Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the folio	wing relationships/conditions/circumstances are present (explain below):			
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6.	Disclosure Statement			
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Mrs. Tosh has no	othing to disclose.			

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Instructions

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4. Intellectual Property.

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Biggs-Cicatelli 1



Section 1. Identifying In	formation	
1. Given Name (First Name) Susan	2. Surname (Last Name) Biggs-Cicatelli	3. Date 03-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium fal PfSPZ Vaccine	ciparum by	
6. Manuscript Identifying Number (if y 89154-INS-CMED-TR-2	ou know it)	
Section 2. The Work Und	er Consideration for Public	ration
Did you or your institution at any time	receive payment or services from uding but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
Section 3. Relevant finan	cial activities outside the s	submitted work.
of compensation) with entities as o	lescribed in the instructions. Us Id report relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Pro	operty Patents & Copyric	ghts
Do you have any patents, whether		THE REAL PROPERTY OF THE PROPE

Biggs-Cicatelli 2



Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements.
On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Ms. Biggs-Cicatelli has nothing to disclose.

Evaluation and Feedback



Instructions

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Royalties: Funds are coming in to you or your institution due to your

patent



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Harini	2. Surname (Last Name) Ganeshan	3. Date 18-October-2016		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman		
5. Manuscript Title Protection against Plasmodium falcipa	rum by PfSPZ Vaccine			
6. Manuscript Identifying Number (if you k 89154-INS-CMED-TR-2	now it)			
Section 2. The Week Under Co				
The Work Under C	onsideration for Publi	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3. Relevant financial	activities outside the	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes V No				
Section 4. Intellectual Prope	rty Patents & Copyric	hte.		
intellectual Prope	rty Patents & Copyri	gnis		
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V		

Ganeshan 2



Section 5.	Annual
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	owing relationships/conditions/circumstances are present (explain below):
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Mrs. Ganeshan I	has nothing to disclose.

Evaluation and Feedback



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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Ident	ifying Information				
Given Name (First Name) Jessica	2. Surna Case	me (Last Name)		3. Date 05-October	-2016
4. Are you the correspondi	ng author? Yes	√ No	Correspondi Stephen L.	ng Author's Name Hoffman	
5. Manuscript Title Protection against Plasm PfSPZ Vaccine	odium falciparum by				
6. Manuscript Identifying N 89154-INS-CMED-TR-2	lumber (if you know it)				
Section 2. The W	ork Under Considera	tion for Publ	ication		
any aspect of the submitted statistical analysis, etc.)? Are there any relevant co	work (including but not lire) Inflicts of interest? ppropriate information	nited to grants, o Yes No Delow. If you ha	data monitoring b	overnment, commercial, privocard, study design, manuscr	ipt preparation,
Name of Institution/Con	npany Grant?	1 - 1	on-Financial Support?	Other? Comments	883
Sanaria, Inc.		V		Sanaria paid Statisti her employer, durin the study for statisti	g the conduct of
Section 3. Releva	ant financial activitie	s outside the	submitted w	ork.	
of compensation) with er	ntities as described in the You should report relationships of interest?	e instructions. Uponships that we have the weet	Jse one line for	e financial relationships (re each entity; add as many l ring the 36 months prior	ines as you need by
Name of Entity	Grant?	1 _ 1	on-Financial Support?	Other? Comments	
Sanaria, Inc.		V		Sanaria paid Statisticher employer, durin the study for statisti	g the conduct of



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 6. Disclosure Statement
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Ms. Case reports fees from Sanaria to Statistics Collaborative, her employer, during the conduct of the study.

Evaluation and Feedback



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Royalties: Funds are coming in to you or your institution due to your patent

Padilla



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Debbie	rst Name)	2. Surname (Last Name) Padilla	3. Date 23-October-2016	
4. Are you the cor	responding author?	☐ Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman	
Manuscript Title Protection again	e nst Plasmodium falcipa	rum by PfSPZ Vaccine		
6. Manuscript Ide 89154-INS-CMEI	ntifying Number (if you k D-TR-2	now it)		
Section 2.	The Work Under C	onsideration for Pub	lication	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo				
Section 3.	Relevant financial	activities outside the	submitted work.	
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Section 4.	Intellectual Prope	rty Patents & Copyr	ights	
Do you have any			proadly relevant to the work? Yes V No	
•			·	



Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):
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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Debbie Padilla h	nas nothing to disclose.

Evaluation and Feedback



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Section 1. Identifying Inform	nation					
Given Name (First Name) Silas	2. Surname (Last Name) Davidson	3. Date 03-October-2016				
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman				
PfSPZ Vaccine	 5. Manuscript Title Protection against Plasmodium falciparum by PfSPZ Vaccine 6. Manuscript Identifying Number (if you know it) 					
Carling						
The Work Under C	onsideration for Public	cation				
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Section 4. Intellectual Prope	rty Patents & Copyric	ahte				
intellectual riope	rty ratents & copyrig	giits — — — — — — — — — — — — — — — — — — —				
Do you have any patents, whether plan	ined, pending or issued, br	roadly relevant to the work? Yes V No				



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Dr. Davidson ha	s nothing to disclose.			

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

Garver



Section 1. Identifying Inform	nation	与10mg/12dd的10gd10gd10gg10gg10gg10gg10gg10gg10gg10gg		
Given Name (First Name) Lindsey	2. Surname (Last Name) Garver	3. Date 04-October-2016		
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman		
5. Manuscript Title Protection against Plasmodium falcipar	rum by PfSPZ Vaccine			
6. Manuscript Identifying Number (if you kr 89154-INS-CMED-TR-2	now it)			
Continue				
Section 2. The Work Under C	onsideration for Publi	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No				
Section 3. Relevant financial	activities outside the	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4. Intellectual Proper	rty Patents & Copyri	ahts		
mtenectuarriopei	rty r atents & copyri	ynt3		
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes No		

Garver 2



Section 5.	Deletionships not severed above			
	Relationships not covered above			
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?			
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):			
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest			
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6.	Disclosure Statement			
1000				
Based on the about the below.	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box			
Dr. Garver has n	othing to disclose.			

Evaluation and Feedback



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Royalties: Funds are coming in to you or your institution due to your

1

patent

Saverino



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Elizabeth	2. Surname (Last Name) Saverino		3. Date 06-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's N	Name
5. Manuscript Title Protection against P. falciparum by PfSI	PZ Vaccine		
6. Manuscript Identifying Number (if you kr 89154-INS-CMED-TR-2	now it)		
Section 2. The Work Under C	onsideration for Public	ation	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of interest of the state of t	est? Yes No ormation below. If you hav g the "X" button. Grant? Personal No	re more than one entity p	
Sanaria Inc.		l an √ tha	m an employee of the company at manufactured and supplied the scine
Section 3. Relevant financial	activities outside the s	submitted work.	
Place a check in the appropriate boxes of compensation) with entities as descr clicking the "Add +" box. You should re	ibed in the instructions. Us port relationships that wer	se one line for each entity	y; add as many lines as you need by
Are there any relevant conflicts of interest fill out the appropriate info			
Name of Entity	Grant	n-Financial other? C	omments
Sanaria Inc.		✓ see	e section 2

Saverino 2



Section 4. Intellectual Property Patents & Copyrights	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Volume No	
Section 5. Relationships not covered above	
Are there other relationships or activities that readers could perceive to have influenced, or that give the appeara potentially influencing, what you wrote in the submitted work?	nce of
Yes, the following relationships/conditions/circumstances are present (explain below):	
No other relationships/conditions/circumstances that present a potential conflict of interest	
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Section 6. Disclasura Statement	EN WISH FOR
Section 6. Disclosure Statement	
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Murshedkar 1



Section 1. Identifying Inform	ation		
1. Given Name (First Name) Tooba	2. Surname (Last Nam Murshedkar	ne)	3. Date 06-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Auth	or's Name
Manuscript Title Protection against P. falciparum by PfSP	^o Z Vaccine		
6. Manuscript Identifying Number (if you kn 89154-INS-CMED-TR-2	ow it)		
Section 2. The Work Under Co			
The Work Under Co	onsideration for Pu	ıblication	
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	est? Yes Normation below. If you gethe "X" button. Grant? Personal	Non-Financial Other?	ity press the "ADD" button to add a row.
	Fees ?	Support !	
Sanaria Inc.			I am an employee of the company that manufactured and supplied the vaccine
Section 3. Relevant financial	activities outside t	he submitted work.	
Place a check in the appropriate boxes in of compensation) with entities as descrictioning the "Add +" box. You should repare there any relevant conflicts of interesting the please fill out the appropriate info	bed in the instruction port relationships that est?	s. Use one line for each e	ntity; add as many lines as you need by
Name of Entity	Grant? Personal Fees?	Non-Financial Other?	Comments
Sanaria Inc.			see section 2

Murshedkar 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
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Royalties: Funds are coming in to you or your institution due to your

1

patent

Gunasekera



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Section 1. Identifying Infor	mation				经过程,从1920年1920
1. Given Name (First Name) Anusha	2. Surname Gunaseker	(Last Name) a			3. Date 06-October-2016
4. Are you the corresponding author?	Yes	√ No	Correspond SL Hoffma	-	r's Name
5. Manuscript Title Protection against Plasmodium falcup	parium by PfSP	Z Vaccine			
6. Manuscript Identifying Number (if you 89154-INS-CMED-TR-2	know it)				
Section 2. The Work Under	Consideratio	on for Publ	ication		
any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	rest? ✓ Yesformation below	ed to grants, o s No ow. If you ha	lata monitoring	board, stu	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, etc.) for the preparation, etc.) for the press the "ADD" button to add a row.
Name of Institution/Company	Grant•	_	on-Financial Support	Other?	Comments
Sanaria Inc.				\checkmark	I am an employee of Sanaria Inc., the company that manufactured and supplied the vaccine
Section 3. Polovant Grancia					
Relevant financia	l activities o	utside the	submitted	work.	
Place a check in the appropriate boxes of compensation) with entities as described the "Add +" box. You should r	ribed in the in	structions. l	Jse one line fo	or each en	
Are there any relevant conflicts of inte	rest? 🗸 Ye	s No			
If yes, please fill out the appropriate in	formation bel	ow.			
Name of Entity	Giant		on-Financial Support?	Other?	Comments
Sanaria Inc.				\checkmark	I am an employee of the company, Sanaria Inc., that manufactured and supplied the vacccine.



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work? Yes, the following relationships/conditions/circumstances are present (explain below):
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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Patrick	2. Surname (Last Name) Twomey	3. Date 11-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium falcipa	rum by PfSPZ Vaccine	
6. Manuscript Identifying Number (if you ki 89154-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes Vo



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Section 6. Disclosure Statement	
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Dr. Twomey has nothing to disclose.	

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patent



Section 1. Identifying Infor	mation	
Given Name (First Name) Sharina	2. Surname (Last Name) Reyes	3. Date 05-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Stephen L Hoffman
5. Manuscript Title Protection against Plasmodium falcip PfSPZ Vaccine	arum by	
6. Manuscript Identifying Number (if you 89154-INS-CMED-TR-2	know it)	
Section 2. The Work Under	Consideration for Publi	cation
Did you or your institution at any time re	ceive payment or services from ng but not limited to grants, da	a a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	al activities outside the	submitted work.
of compensation) with entities as des	cribed in the instructions, Us report relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4. Intellectual Prop	erty Patents & Copyric	ghts
Do you have any patents, whether pla		

Reyes



Section 5.	
Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
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Dr. Reyes has no	othing to disclose.

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patent

Moon



Section 1. Identifying Inform	nation	
1. Given Name (First Name) James	2. Surname (Last Name) Moon	3. Date 03-October-2016
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium falcipa	rum by PfSPZ Vaccine	
6. Manuscript Identifying Number (if you k 89154-INS-CMED-TR-2	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ribed in the instructions. Use port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4. Intellectual Prope	rty Patents & Copyric	ghts
Do you have any patents, whether plan		

Moon 2



Section 5.	Relationships not covered above
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follo	owing relationships/conditions/circumstances are present (explain below):
✓ No other rela	ationships/conditions/circumstances that present a potential conflict of interest
	nanuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. Urnals may ask authors to disclose further information about reported relationships.
Section 6.	
M	Disclosure Statement
Based on the ab	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Moon has no	othing to disclose.

Evaluation and Feedback



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James 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Eric	2. Surname (Last Name) James	3. Date 06-October-2016
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name SL Hoffman
5. Manuscript Title Protection against Plasmodium falcup	parium by PfSPZ Vaccine	
6. Manuscript Identifying Number (if you 89154-INS-CMED-TR-2	know it)	
Section 2. The Work Under	Consideration for Publi	cation
	ng but not limited to grants, d	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
If yes, please fill out the appropriate in Excess rows can be removed by pressi	•	ve more than one entity press the "ADD" button to add a row.
Name of Institution/Company	Grant	n-Financial other? Comments
Sanaria Inc.		I am an employee of Sanaria Inc., the company that manufactured and supplied the vaccine
Section 3. Relevant financia	l activities outside the	submitted work.
of compensation) with entities as desc	cribed in the instructions. U eport relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
If yes, please fill out the appropriate in	formation below.	
Name of Entity	Grant•	n-Financial Other? Comments
Sanaria Inc.		I am an employee of the company, Sanaria Inc., that manufactured and supplied the vacccine.



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Dr. James reports other from Sanaria Inc., during the conduct of the study; other from Sanaria Inc., outside the submitted work; .

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	dentifying Inform	ation	A STATE OF THE	distinguished.	Carlo S	
 Given Name (First Natasha 	Name)	2. Surnar KC	ne (Last Name)			3. Date 06-October-2016
4. Are you the corre	sponding author?	Yes	✓ No	Correspond SL Hoffmar	-	or's Name
5. Manuscript Title Protection against	Plasmodium falcupa	rium by Pf	SPZ Vaccine			
6. Manuscript Identi 89154-INS-CMED-	fying Number (if you kr FR-2	now it)				
Section 2.	he Work Under C	onsidera	tion for Public	cation		
any aspect of the sub statistical analysis, et	mitted work (including	but not lin	nited to grants, da			ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
If yes, please fill ou		ormation b	elow. If you hav	e more than	one enti	ty press the "ADD" button to add a row.
Name of Institution		Grant?	Personal No	n-Financial upport?	Other?	Comments
Sanaria Inc.					7	I am an employee of Sanaria Inc., the company that manufactured and supplied the vaccine
Section 3.	Relevant financial	activities	s outside the s	submitted v	work.	
of compensation) of clicking the "Add H Are there any relevant	with entities as descr	ibed in the port relations: est?	instructions. Us onships that we Yes \tag No	se one line fo	r each er	cial relationships (regardless of amount natity; add as many lines as you need by a 36 months prior to publication .
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Li



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1. Given Name (Fir MingLin	st Name)	2. Surname (Lass Li	Name)		3. Date 06-October-2016
4. Are you the corr	esponding author?	Yes ✓ I	No Correspon	nding Author's N nan	Name
5. Manuscript Title Protection again:	st P. falciparum by PfSP	Z Vaccine			
6. Manuscript Ider 89154-INS-CME	ntifying Number (if you kno D-TR-2	ow it)			
Section 2.	The Work Under Co	nsideration fo	or Publication		
any aspect of the si statistical analysis, Are there any rele If yes, please fill c	ubmitted work (including etc.)? evant conflicts of intere	but not limited to st? Yes rmation below. I	grants, data monitorii	ng board, study	commercial, private foundation, etc.) for design, manuscript preparation, oress the "ADD" button to add a row.
Name of Institut	ion/Company	Grant? Perso		Other? Co	omments
Sanaria Inc.				√ that	n an employee of the company t manufactured and supplied the cine
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of compensation clicking the "Add Are there any rele) with entities as descri	bed in the instru port relationships st?	ctions. Use one line	for each entity	relationships (regardless of amount v; add as many lines as you need by s months prior to publication.
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Sanaria Inc.				✓ see	section 2



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Arnel Belmonte	has nothing to disclose.

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Section 4. Intellectual Proper	ty Patents & Copyri	ghts				
Do you have any patents, whether plan	ned, pending or issued, bi	roadly relevant to the work? Yes V No				

Hauns 2



Section 5.	Relationships not covered above				
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?				
Yes, the follo	wing relationships/conditions/circumstances are present (explain below):				
✓ No other rela	No other relationships/conditions/circumstances that present a potential conflict of interest				
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. Irnals may ask authors to disclose further information about reported relationships.				
Section 6.	Disclosure Statement				
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Dr. Hauns has no	othing to disclose.				

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent



Section 1. Ide	ntifying Informatio	on				
1. Given Name (First Na Maria	•	Surname (Last Name) Imonte	3. Date 04-October-2016			
4. Are you the correspo	nding author?	Yes ✓ No	Corresponding Author's Name Stephen L. Hoffmann			
Manuscript Title Protection against Pla	ısmodium falciparum b	oy PfSPZ Vaccine				
	6. Manuscript Identifying Number (if you know it) 89154-INS-CMED-TR-2					
			29			
Section 2. The	Work Under Consi	deration for Publica	ation			
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes V						
Section 3. Rel	evant financial activ	vities outside the sı	ubmitted work.			
of compensation) wit	n entities as described ox. You should report r	in the instructions. Use	ther you have financial relationships (regardless of amount e one line for each entity; add as many lines as you need by e present during the 36 months prior to publication.			
Section 4.	ellectual Property	- Patents & Convrig	hts			
	W. W. W. Str.		oadly relevant to the work? Yes V No			



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Yes, the follo	wing relationships/conditions/circumstances are present (explain below):				
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Section 6.	Disclosure Statement				
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Maria Belmonte	has nothing to disclose.				

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Royalties: Funds are coming in to you or your institution due to your patent

Huang



Section 1.	Identifying Information						
1. Given Name (F Jun	irst Name)	2. Surname (Last Name) Huang	3. Date 18-October-2016				
4. Are you the co	rresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman				
5. Manuscript Title Protection against Plasmodium falciparum by PfSPZ Vaccine							
•	6. Manuscript Identifying Number (if you know it) 89154-INS-CMED-TR-2						
Section 2.	The Work Under C	Consideration for Publi	cation				
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Section 3.	Relevant financia	activities outside the	submitted work.				
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Section 4.	Intellectual Prope	erty Patents & Copyri	ghts				
Do you have an			roadly relevant to the work? Yes No				



Section 5.	Relationships not covered above					
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Section 6.						
Dettroil of	Disclosure Statement					
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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

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Vasquez



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Carlos	2. Surname (Last Name) Vasquez	3. Date 07-October-2016				
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman				
5. Manuscript Title Protection against Plasmodium falcipar	5. Manuscript Title Protection against Plasmodium falciparum by PfSPZ Vaccine					
6. Manuscript Identifying Number (if you kr 89154-INS-CMED-TR-2	now it)					
Section 2. The Work Under Co	onsideration for Publi	cation				
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Section 3. Relevant financial	activities outside the	submitted work.				
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Section 4. Intellectual Proper	rty Patents & Copyri	ghts				
Do you have any patents, whether plan						



Section 5.	Relationships not covered above			
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?			
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Section 6.	Disclosure Statement			
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Mr. Vasquez has	s nothing to disclose.			

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Carrington



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Mary	2. Surname (Last Name) Carrington	3. Date 03-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L. Hoffman
5. Manuscript Title Protection against Plasmodium falcipar	um by PfSPZ Vaccine	
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and the constraints		
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Section 6.	
Section 6.	Disclosure Statement
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Dr. Carrington h	as nothing to disclose.

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Section 1.	Identifying Inforn	nation			
1. Given Name (Fi Yonas	rst Name)	2. Surname (Las Abebe	t Name)	3. Date 06-October-20	016
4. Are you the cor	responding author?	Yes ✓	No Correspon	nding Author's Name nan	
Manuscript Title Protection again	e st P. falciparum by PfS	PZ Vaccine			
6. Manuscript Ider 89154-INS-CME	ntifying Number (if you k D-TR-2	now it)	andahari i Tabu Shiri I Kabusahara dan A		
	ı				
Section 2.	The Work Under C	onsideration f	or Publication		
statistical analysis, Are there any rel If yes, please fill o	etc.)? evant conflicts of inter out the appropriate inf be removed by pressir	rest? Yes formation below.	No If you have more that	ng board, study design, manuscript an one entity press the "ADD" bu Comments	
Sanaria Inc.				l am an employee of the that manufactured and vaccine	
Section 3.	Relevant financial	activities outs	ide the submitted	l work.	and and
of compensation clicking the "Ado Are there any rel	n) with entities as descr	ribed in the instrue port relationship rest? Yes	ictions. Use one line	nave financial relationships (regator for each entity; add as many line during the 36 months prior to	es as you need by
Name of Entity		Grant? Perso		Other? Comments	
Sanaria Inc.				✓ see section 2	

Abebe



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
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Mr. Abebe reports other from Sanaria Inc., during the conduct of the study; other from Sanaria Inc., outside the submitted work; .

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent

Tillman 1



Section 1.	Identifying Inform	ation		VARIABLE STATE	15,46	
1. Given Name (Fi Amy	rst Name)	2. Surnan Tillman	ne (Last Nam	ne)		3. Date 05-October-2016
4. Are you the cor	responding author?	Yes	√ No	Correspond Stephen L		
Manuscript Title Protection again PfSPZ Vaccine	e st Plasmodium falciparu	ım by				
6. Manuscript Ider 89154-INS-CMED	ntifying Number (if you kn D-TR-2	ow it)				
PfSPZ Vaccine	et Plasmodium falcinari	ım hv PfÇI	P7 Vaccine			
Section 2.	The Work Under Co	nsiderat	ion for P	ublication	Herr	
any aspect of the s statistical analysis, Are there any rel If yes, please fill o	ubmitted work (including etc.)? evant conflicts of intere	but not limst?	ited to gran 'es [] I elow. If you	ts, data monitoring No	board, sti	ent, commercial, private foundation, etc.) for udy design, manuscript preparation, ty press the "ADD" button to add a row.
Name of Institut	ion/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Sanaria			/			Sanaria paid Statistics Collaborative, her employer, during the conduct of the study for statistical analysis.
Section 3.	Relevant financial a	activities	outside (the submitted	work.	
of compensation clicking the "Add	n) with entities as descri I +" box. You should rep	oed in the ort relatio	instructior inships tha	ns. Use one line fo	or each er	cial relationships (regardless of amount ntity; add as many lines as you need by a 36 months prior to publication .
· ·	evant conflicts of intere out the appropriate info			NO		
Name of Entity		Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Sanaria			✓			Sanaria paid Statistics Collaborative, her employer, during the conduct of the study for statistical analysis.

Tillman 2



Section 4. Intellectual Property Patents & Copyrights
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo
Section 5. Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Ms. Amy Tillman reports fees from Sanaria to Statistics Collaborative, her employer, during the conduct of the study.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.



Instructions

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Hickey



Section 1. Identifying Inform	nation			
Given Name (First Name) Bradley	2. Surname (Last Name) Hickey	3. Date 03-October-2016		
4. Are you the corresponding author?	Yes 📝 No	Corresponding Author's Name Stephen L. Hoffman		
5. Manuscript Title Protection against Plasmodium falcipa	rum by PfSPZ Vaccine			
6. Manuscript Identifying Number (if you k 89154-INS-CMED-TR-2	now it)			
Section 2. The Work Under C	onsideration for Publi	cation		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Vo				
Section 3. Relevant financial	activities outside the	submitted work.		
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes Vo				
Section 4. Intellectual Prope	rty Patents & Copyri	ghts		
Do you have any patents, whether plan				

Hickey



Section 5.	Relationships not covered above				
	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?				
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):				
✓ No other rela	✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. rnals may ask authors to disclose further information about reported relationships.				
Section 6.					
Section 6.	Disclosure Statement				
Based on the ab	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box				

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patent

Villasante 1



Section 1. Identifying Inf	ormation		
1. Given Name (First Name) Eileen	2. Surname (Last Name) Villasante	3. Date 03-October-2016	
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Stephen L. Hoffman	
5. Manuscript Title Protection against Plasmodium fal	ciparum by PfSPZ Vaccine		
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Section 2. The Work Und	er Consideration for Publi	cation	
	uding but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,	
Section 3. Relevant finan	cial activities outside the	submitted work.	
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Section 4. Intellectual Pro	operty Patents & Copyri	ghts	
Do you have any patents, whether			

Villasante 2



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	relationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?				
Yes, the follo	Yes, the following relationships/conditions/circumstances are present (explain below):				
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Jection 6.	Disclosure Statement				
Based on the ab	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box				
Dr. Villasante ha	s nothing to disclose.				

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patent



Section 1.	Identifying Inform	ation	NEW STATES			
Given Name (Fire B. Kim Lee	rst Name)	2. Surnam Sim	ne (Last Name)			3. Date 07-November-2016
4. Are you the cor	responding author?	Yes	✓ No	Correspond SL Hoffma	_	or's Name
5. Manuscript Title Protection again	e st P. falciparum by PfSP	Z Vaccine				
6. Manuscript Ider 89154-INS-CME	ntifying Number (if you kn D-TR-2	ow it)				
	i					
Section 2.	The Work Under Co	nsiderat	ion for Publi	cation		
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Excess rows can	be removed by pressing	j the "X" bi	uπon.			
Excess rows can Name of Institut	· · · · · · · · · · · · · · · · · · ·		Personal No	n-Financial Support?	Other?	Comments
	· · · · · · · · · · · · · · · · · · ·		Personal No	_		Comments Sanaria Inc. received federal funding to carry out the clinical study of its PfSPZ Vaccine.
Name of Institut	· · · · · · · · · · · · · · · · · · ·	Grant?	Personal No	_		Sanaria Inc. received federal funding to carry out the clinical study of its
Name of Institut	· · · · · · · · · · · · · · · · · · ·	Grant?	Personal No Fees? S	Support?		Sanaria Inc. received federal funding to carry out the clinical study of its
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Section 4.	Intellectual	Property	Patents	& Copyri	ghts			d5.12113
Do you have any If yes, please fill Excess rows can	out the appropr	iate informat	ion belov	w. If you ha	-			No ton to add a row.
Pater	ıt?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
IP Related to PfSPZ V	/accine		/				I am a named inv patents related t Vaccine	
Section 5.								
Section 3.	Relationshi	ps not cove	red abo	ve				
Are there other potentially influ-					eive to have	influenced, or t	hat give the appe	arance of
✓ Yes, the follo	owing relationsh	ips/conditior	ns/circum	istances are	e present (ex	plain below):		
No other rela	ationships/cond	itions/circum	stances t	hat presen	t a potential	conflict of inter	est	
I am a salaried e	mployee of San	aria Inc., the o	develope	r and owne	er of PfSPZ Va	accine, and the s	sponsor of the cli	nical trial.
At the time of m On occasion, jou		-					•	osure statements.
Section 6.	Disclosure S	tatement	t to the		of the second	DEL CONTROL		nice in the
Based on the ab below.	Maria Labi poissonini	CONTRACTOR OF	l automa	tically gene	erate a disclo	sure statement,	which will appea	ar in the box
	ed employee he clinical tr		a Inc., t	he deve	loper and	owner of Pf	SPZ Vaccine,	and the



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Sim 4



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Section 1. Identifying Inform	ation	No. 4 DY		
1. Given Name (First Name) Stephen	2. Surname (Last Na Hoffman	me)		3. Date 06-October-2016
4. Are you the corresponding author?	✓ Yes No			
 Manuscript Title Protection against Plasmodium falcipare PfSPZ Vaccine Manuscript Identifying Number (if you kn 89154-INS-CMED-TR-2 				
Section 2. The Work Under Co	onsideration for P	ublication		
Did you or your institution at any time recei any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to gra			
If yes, please fill out the appropriate info	rmation below. If yo		n one enti	ty press the "ADD" button to add a row.
Name of Institution/Company	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments
Sanaria Inc.	V			Sanaria Inc. received federal funding to carry out the clinical study of its PfSPZ Vaccine.
Section 3. Palacent Grancial				
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Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments
Sanaria Inc.			✓	I am a salaried employee of Sanaria Inc., the owner and developer of PfSPZ Vaccine.



Section 4.					
Intellectua	Property Patent	s & Copyrights			Į,
Do you have any patents, whet If yes, please fill out the approp Excess rows can be removed by	oriate information belo	w. If you have more t		Yes No ss the "ADD" button to add a rov	٧.
Patent?	Pending? Issued?	Licensed? Royaltie	Licensee?	Comments	
IP Related to PfSPZ Vaccine				I am a named inventor on patents related to PfSPZ Vaccine	
Section 5. Relationsh	ips not covered ab	ove			
Are there other relationships of potentially influencing, what you			eve influenced, or t	hat give the appearance of	
Yes, the following relations No other relationships/con	•	•	•	est	
I am a salaried employee of Sar	naria Inc., the develop	er and owner of PfSPZ	Z Vaccine, and the s	sponsor of the clinical trial.	
At the time of manuscript acce On occasion, journals may ask				update their disclosure statemen nships.	ts.
Section 6. Disclosure	Statement				
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Abot 1



Section 1.	Identifying Inform	nation	
1. Given Name (Fi Esteban	rst Name)	2. Surname (Last Name) Abot	3. Date 09-November-2017
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Name Stephen L Hoffman
5. Manuscript Title Protection again	e st Plasmodium falcipar	um by PfSPZ Vaccine	
6. Manuscript Ider 89154-INS-CMED	ntifying Number (if you kr O-TR-2	now it)	
	l .		
Section 2.	The Work Under Co	onsideration for Public	cation
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3.	Relevant financial	activities outside the s	submitted work.
of compensation clicking the "Add	the appropriate boxes i) with entities as descri	n the table to indicate wh bed in the instructions. Us port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
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Abot 2



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1. Given Name (First Name) Shon	2. Surname (Last Name) Remich	3. Date 03-October-2016
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Stephen L Hoffman
5. Manuscript Title Protection against Plasmodium falcipar	um by PfSPZ VaccineProte	ection against Plasmodium falciparum by PfSPZ Vaccine
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4. Are you the cor	responding author?	Yes No	
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Do you have any	patents, whether plan	ned, pending or issued, broadly relev	vant to the work? ☐ Yes 🗸 No

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